PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 6495-0167WOUS			
		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) Unknown			
	PCT/DE2005/000115	INTERNATIONAL FILING DATE January 25, 2005	PRIORITY DATE CLAIMED January 30, 2004		
TITLE OF	METHOD AND SYS	STEM FOR STOPPING ELEVATO	RS		
APPLICA	NT(S) FOR DO/EO/US Detlev Abra	aham and Hans-Josef Mennen			
Applicar			D/US) the following items and other information:		
1. 🗹		nceming a submission under 35 U.S.C. 371			
2.	This is a SECOND or SUBSEQUENT s	ubmission of items concerning a submission	n under 35 U.S.C. 371.		
3. 🗹		onal examination procedures (35 U.S.C. 37			
4.	The US has been elected (Article 31).				
5.	A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))			
	a. is attached hereto (required	only if not communicated by the Internation	nal Bureau).		
	b. has been communicated by				
	c. is not required, as the applic	cation was filed in the United States Receivi	ing Office (RO/US).		
6.	An English language translation of the	e International Application as filed (35 U.S.C	C. 371(c)(2)).		
	a. is attached hereto.				
	b. has been previously submit	ted under 35 U.S.C. 154(d)(4).			
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))				
	a. are attached hereto (requir	red only if not communicated by the Internat	tional Bureau).		
	b. have been communicated	by the International Bureau.			
	c. have not been made; howe	ever, the time limit for making such amendm	nents has NOT expired.		
	d. have not been made and w	vill not be made.			
8.	An English language translation of the	e amendments to the claims under PCT Art	icle 19 (35 U.S.C. 371(c)(3)).		
9.	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).			
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).				
Items	11 to 20 below concern document(s)	or information included:			
11. 🗹	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.			
12.	An assignment document for recording	g. A separate cover sheet in compliance wit	th 37 CFR 3.28 and 3.31 is included.		
13.	A preliminary amendment.				
14.	An Application Data Sheet under 37 C	FR 1.76.			
15.	A substitute specification.				
16.	A power of attorney and/or change of	address letter.			
17.	A computer-readable form of the sequ	ence listing in accordance with PCT Rule 1	3ter.2 and 37 CFR 1.821- 1.825.		
18.	A second copy of the published Intern	ational Application under 35 U.S.C. 154(d)(4).		
19.	A second copy of the English language	e translation of the international application	under 35 U.S.C. 154(d)(4).		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will 'vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Page 1 of 3

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0.5. APPLICATION NO. (If Known, see 37 CFR 1.5)	INTERNATIONAL AP		ATTORNEY'S DOCKET NUMBER	
Unknown PCT/DE2005/000115		6495-0167WOUS		
20. Other items or information:				
1 Sheet of Drawings				
The following fees have been submitted	·		CALCULATIONS	PTO USE ONLY
21. 🗹 Basic national fee (37 CFR 1.492(a))		\$300	\$ 300.00	1 10 COL CITET
22. Examination fee (37 CFR 1.492(c))			300.00	
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 All other situations			\$ 200.00	
23. ✓ Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPT∯ as an International Searching Authority\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB\$400 All other situations			500.00	
TOTAL OF 21, 22 and 23 =			\$ 1000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number)		RATE		
14 - 100 = /50 =		× \$250	\$	1
Surcharge of \$130.00 for furnishing any of the search fe after the date of commencement of the national stage (ee, examination fee, or the o		\$ 130.00	
CLAIMS NUMBER FILED	NUMBER EXTRA	RATE	\$	_!
Total daims 8 - 20 =		× \$ 50	\$	1
Independent claims 1 - 3 =		× \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$360	\$	
	TOTAL OF ABOVE		\$ 1130.00	
Applicant claims small entity status. See 37 CFR 1.3	27. Fees above are reduce	ed by .		
		SUBTOTAL =	\$ 1130.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$	<u> </u>
TOTAL NATIONAL FEE =			\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$	
TOTAL FEES ENCLOSED =			\$ 1130.00	
			Amount to be refunded:	\$
			Amount to be charged	\$

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ь. 🗀	Please charge my Deposit Account NoA duplicate copy of this sheet is enclosed.	in the amount of \$	to cover the above fees.			
c. 🗹 🧎	c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-0235 A duplicate copy of this sheet is enclosed.					
d. 🔲	d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: W	here an appropriate time limit under 37 CFR 1.4 led to restore the International Application to pe	95 has not been met, a petit ending status.	tion to revive (37 CFR 1.137(a) or (b)) must be filed			
SEND ALI Custom McCom CityPlac 185 Asy	L CORRESPONDENCE TO: er Number 35301 nick, Pauldng & Huber, LLP	Mari N 38.	SIGNATURE rina F. Cunningham NAME DATE 419 REGISTRATION NUMBER			

Ser/PayTM No. New Best Available Copyste Received:				
File No. 6475-016760045				
Inventor Name(s). Clyaham.	<u></u>			
Atty/Secretary MFC/SGC				
Hon. Commissioner of Patents/Trademarks				
Please acknowledge receipt of the attachments by sta and returning this card to the addressee. McCormick	amping the date received in the space indicated			
Specification - # of pages	Transmittal letter			
© Claims - # of pages2	□ Check - \$ 1130 °C			
Drawings - # of sheets	ff IDS and PTO/SB/08A			
Amendment Prelim.	☑ Copies of IDS citations			
☐ Issue Fee/Publication Fee	☐ Maintenance Fee			
English translation document	☐ Extension of Time			
☐ Assignment	BADS			
Marked up copy of specification/claims	of Copy Int I apple			
Clean copy of specification/claims				
□ Dec/POA				

McCORMICK, PAULDING & HUBER LLP

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51-57/119

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July 21, 2006

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Date

Amount

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File No. 6495-0167WOUS

Reference

July 21, 2006

\$***1,130.00 **Amount**

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Fleetbank, N.A. Hartford, Connecticut

General Account 2-415-386-3

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Elizabeth A	
Typed or Printed Name of Person	Sending Paper or Fee
Phalethald	October 19, 2006
Signature	Date
y	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of Detlev Abraham, et al.)
on METHOD AND SYSTEM FOR STOPPING ELEVATORS) Examiner: Unknown))
Serial No.: 10/586,900 Int'l Appln. No. : PCT/DE2005/000115 International Filing Date: 01/25/2005)) Art Unit: Unknown))
Filed: July 21, 2006)) (Docket No. 6495-0167WOUS)

Hartford, Connecticut, October 19, 2006

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUBMISSION OF DECLARATION AND POWER OF ATTORNEY AND APPOINTMENT OF DOMESTIC REPRESENTATIVE

Dear Sir:

Applicants submit herewith a Declaration and Power of Attorney and Appointment of Domestic Representative, in absence of a Notice of Missing Requirements and in absence of an Official Filing Receipt, and respectfully request the declaration be incorporated into and form part of the above-identified patent application as filed.

In view of this submission, Applicants believe that the application is now in compliance with 35 U.S.C. 371 and it is respectfully requested that an Official Filing Receipt and Notification of Acceptance of Application be issued in the present application.

Appl. No.: 10/586,90() Submission dated October 19, 2006

Upon filing of the above application, Applicants paid the \$130.00 surcharge fee for the late submission of the Oath and Declaration. Therefore, Applicants do not believe a fee is required with the filing of this submission. However, should there be any deficiency in the payment of this fee, please proceed to charge our Deposit Account No. 13-0235.

Should any matter remain unresolved, Applicants requests the Examiner contact Applicants' representative at the phone number listed below.

Respectfully submitted,

Marina F. Cupningham

Registration 10. 38,419 Attorney for Applicants

McCormick, Paulding & Huber LLP CityPlace II 185 Asylum Street Hartford, Connecticut 06103-3402 (860) 549-5290 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Alisha Flintroy-Mathis
Typed or Printed Name of Person Sending Paper or Fee

March 05, 2007

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of Detlev Abraham, et al.	
on METHOD AND SYSTEM FOR) Examiner: Unknown
STOPPING ELEVATORS) Confirmation No.: 5377
Serial No.: 10/586,900 Int'l Appln. No. : PCT/DE2005/000115 International Filing Date: 01/25/2005) Art Unit: Unknown))
Filed: July 21, 2006) (Docket No. 6495-0167WOUS

Hartford, Connecticut, March 05, 2007

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ ELECTED OFFICE (DO/EO/US)

SIR:

In response to the Notification of Missing Requirements under 35 U.S.C. 371, dated February 26, 2007, Applicants enclose herewith the following documents:

- 1. Form PCT/DO/EO/905 (return copy of Notification)
- 2. Submission of Declaration and Power of Attorney and Appointment of Domestic Representative filed October 19, 2006;

Application No.: 10/586,900

Response to Missing Requirements dated: March 05, 2007

- 3. Copy of Declaration and Power of Attorney; and
- 4. A print out of Image File Wrapper from the Private PAIR indicating that the USPTO received the Declaration and Power of Attorney on October 23, 2006.

Upon filing of the above application, Applicants paid the \$130.00 surcharge fee for the late submission of the Oath and Declaration. Therefore, Applicants do not believe a fee is required with the filing of this notice. However, should there be any deficiency in the payment of this fee, please proceed to charge our Deposit Account No. 13-0235.

Respectfully submitted,

Ву__

Marina F. Cuntingham Registration No. 38,419 Attorney for Applicants

McCormick, Paulding & Huber LLP CityPlace II 185 Asylum Street Hartford, Connecticut 06103-3402 (860) 549-5290



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

371 FORMALITIES LETTER

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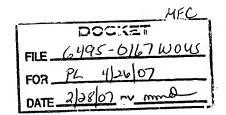
U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/586,900 Detlev Abraham 6495-0167WOUS RECEIVED A 2007 & Huter INTERNATIONAL APPLICATION NO. PCT/DE05/00115 35301 I.A. FILING DATE PRIORITY DATE MCCORMICK, PAULDING & HUBER LLP 01/25/2005 01/30/2004 CITY PLACE II **185 ASYLUM STREET** HARTFORD, CT 06103 CONFIRMATION NO. 5377

Date Mailed: 02/26/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/21/2006
- English Translation of the IA filed on 07/21/2006
- Copy of the International Search Report filed on 07/21/2006
- Preliminary Amendments filed on 07/21/2006
- Information Disclosure Statements filed on 07/21/2006
- Request for Immediate Examination filed on 07/21/2006
- U.S. Basic National Fees filed on 07/21/2006
- Substitute Specification filed on 07/21/2006
- Priority Documents filed on 07/21/2006
- Specification filed on 07/21/2006
- Claims filed on 07/21/2006
- Abstracts filed on 07/21/2006
- Drawings filed on 07/21/2006



The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions

of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO. ATTY. DOCKET NO.	
10/586,900	PCT/DE05/00115	6495-0167WOUS

FORM PCT/DO/EO/905 (371 Formalities Notice)



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ortal Home **Patents Trademarks** Other Sign-Off Authenticated Session Patent eBusiness <u>- B</u> Secured Patent Application Information Retrieval Ø Electronic Filing XML Patent Application Information Order Certified Application As Filed Order Certified File Wrapper De View Order List Download PAIR) H Patent 10/586,900 Method and system for stopping elevators P. Ownership Ð Fees lmage File Wrapper Supplemental Resources & Support This application is officially maintained in electronic form. To View: Click the desired Document Description. To Patent Information Download and Print: Check the desired document(s) and click Start Download. atent Guidance and General Info **Available Documents** Codes, Rules & Manuals Document **Employee & Office Directories** Mail Room Date **D**ocument Category Page Count Select All Description 1 Resources & Public Notices Notice of DO/EO Patent Searches Missing 02-26-2007 **PROSECUTION** 2 Requirements tatent Official Gazette

Search Patents & Applications Mailed Applicant Response Search Biological Sequences 10-23-2006 PROSECUTION to Pre-Exam 2 Copies. Products & Services Formalities Notice Oath or Declaration Other 10-23-2006 **PROSECUTION 3** filed oovrights <u>Miscellaneous</u> 07-21-2006 **PROSECUTION** rademarks Internal Document olicy & Law eports Fee Worksheet 07-21-2006 PROSECUTION **□** (PTO-06) Fee Worksheet 07-21-2006 **PROSECUTION 6** (PTO-06) Claims Worksheet 07-21-2006 **PROSECUTION** (F) (PTO-2022) **Documents** 07-21-2006 submitted with 371 **PROSECUTION 6** Applications **Documents** 07-21-2006 submitted with 371 **PROSECUTION** 8 Applications **Documents** 07-21-2006 submitted with 371 **PROSECUTION** 1 Ѿ Applications WIPO Publication -07-21-2006 PROSECUTION 14 Non-English version WIPO Publication -07-21-2006 PROSECUTION 6 Non-English version Certified Copy of 07-21-2006 Foreign Priority **PROSECUTION** 14 <u>Application</u> **Documents** 07-21-2006 submitted with 371 PROSECUTION 1 **3 Applications** <u>Documents</u> 07-21-2006 submitted with 371 **PROSECUTION** 1 **(49) Applications Documents** 07-21-2006 submitted with 371 **PROSECUTION** 1 圈 Applications **Documents** 07-21-2006 submitted with 371 PROSECUTION 1 **Applications** Transmittal of New 07-21-2006 PROSECUTION 3 8 Application 07-21-2006 Specification **PROSECUTION** 8 **(33)** 07-21-2006 <u>Claims</u> **PROSECUTION** 2 07-21-2006 <u>Abstract</u> **PROSECUTION** 1

07-21-2006	<u>Drawings</u>	PROSECUTION	1	(48)
07-21-2006	Fee Worksheet (PTO-06)	PROSECUTION	1	™ .
07-21-2006	Preliminary Amendment	PROSECUTION	1	
07-21-2006	Specification	PROSECUTION	2	
07-21-2006	Claims	PROSECUTION	2	2
07-21-2006	Applicant Arguments/Remarks Made in an Amendment	PROSECUTION	12	=
07-21-2006	Specification	PROSECUTION	7	
07-21-2006	Claims	PROSECUTION	2	8
07-21-2006	Abstract	PROSECUTION	1	8
07-21-2006	Information Disclosure Statement (IDS) Filed	PROSECUTION	3	©
07-21-2006	Foreign Reference	PROSECUTION	15	8
07-21-2006	NPL Documents	PRIOR ART	3	©
07-21-2006	NPL Documents	PRIOR ART	32	8
07-21-2006	NPL Documents	PROSECUTION	2	89
07-21-2006	<u>Application Data</u> Sheet	PROSECUTION	4	₩
07-21-2006	Abstract	PROSECUTION	2	P
07-21-2006	Documents submitted with 371 Applications	PROSECUTION	14	3
07-21-2006	<u>Documents</u> submitted with 371 <u>Applications</u>	PROSECUTION	9	The state of the s

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- questions about Patent Application Information Retrieval (PAIR).
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 If you experience technical difficulties or problems with this application, please report them via e-mail to <u>Electronic Business Support</u> or call 1 800-786-9199.

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